



Kaiser Permanente Health Plan of Mid-Atlantic States, Inc.  
Proprotein Convertase Subtilisin Kexin type 9 (PCSK9) or ATP  
Citrate Lyase (M4V) or (M4T) Prior Authorization (PA)  
Pharmacy Benefits Prior Authorization Help Desk  
Length of Authorizations: Initial- 1 year; Continuation- 1 year

**Instructions:**

This form is used by participating providers for coverage of Proprotein Convertase Subtilisin Kexin type 9 (PCSK9) or ATP Citrate Lyase (M4V) or (M4T) for heterozygous familial hypercholesterolemia (HeFH), homozygous familial hypercholesterolemia (HoFH), or very high risk ASCVD. Please complete and fax this form back to Kaiser Permanente within 24 hours at fax: [1-866-331-2104](tel:1-866-331-2104). If you have any questions or concerns, please [call 1-866-331-2103](tel:1-866-331-2103). Request will not be considered unless form is completely filled out. KP-MAS Formulary can be found at: [Pharmacy | Community Provider Portal | Kaiser Permanente](#)

**1 – Patient Information**

Patient Name: \_\_\_\_\_ Kaiser Medical ID#: \_\_\_\_\_ Date of Birth: \_\_\_\_\_

**2 – Prescriber Information**

Is the prescriber a specialist of Cardiologists, Lipidologists, Endocrinologists or other \_\_\_\_\_?  No  Yes  
If consulted with a specialist, specialist name and specialty: \_\_\_\_\_  
Prescriber Name: \_\_\_\_\_ Specialty: \_\_\_\_\_ NPI: \_\_\_\_\_  
Prescriber Address: \_\_\_\_\_  
Prescriber Phone #: \_\_\_\_\_ Prescriber Fax #: \_\_\_\_\_

**3 – Pharmacy Information**

Pharmacy Name: \_\_\_\_\_ Pharmacy NPI: \_\_\_\_\_  
Pharmacy Phone # \_\_\_\_\_ Pharmacy Fax #: \_\_\_\_\_

**4 – Drug Therapy Requested**

Drug 1: Name/Strength/Formulation: \_\_\_\_\_  
Sig: \_\_\_\_\_  
Drug 2: Name/Strength/Formulation: \_\_\_\_\_  
Sig: \_\_\_\_\_

## 5– Diagnosis/Clinical Criteria

1. For what indication(s) is the drug being prescribed? Check all that apply.
  - To reduce the risk of myocardial infarction, stroke, and coronary revascularization in adults with established cardiovascular disease.
  - As an adjunct to diet, alone or in combination with other lipid-lowering therapies (e.g., statins, ezetimibe), for treatment of adults with primary hyperlipidemia (including heterozygous familial hypercholesterolemia [HeFH]) to reduce low-density lipoprotein cholesterol (LDL-C).
  - As an adjunct to diet and other LDL-lowering therapies (e.g., statins, ezetimibe, LDL apheresis) in patients with homozygous familial hypercholesterolemia (HoFH) who require additional lowering of LDL-C.
  - The member has had prior treatment history with highest available dose or maximally-tolerated dose of high intensity statin (atorvastatin or rosuvastatin) and ezetimibe for at least three continuous months with failure to reach target LDL-C and is in one of the three groups identified by NLA (i.e., extremely high risk ASCVD members with LDL-C  $\geq$  70 mg/dL, very high risk atherosclerotic cardiovascular disease [ASCVD] members with LDL-C  $\geq$  100 mg/dL, and high risk members with LDL-C  $\geq$  130 mg/dL).
  - Other: \_\_\_\_\_
2. Is this request for a new start or continuation of therapy? (If **New Start**, skip to diagnosis section.)
  - New Start                       Continuation
3. Was this drug previously authorized for this member and are they stable on the medication? (If **No**, skip to diagnosis section.)
  - Yes    No
4. How long has the member been receiving treatment with these medications?
  - 3 to 5 months (or first renewal request after initial authorization)
  - 6 months or more (or second and subsequent renewal requests)
5. **For PCSK9S Praluent® or Repatha® therapy only:** Has the member achieved at least a 30% reduction in LDL-C since the beginning of treatment with Praluent® or Repatha®? **ACTION REQUIRED:** If **Yes**, please attach clinical notes and laboratory results that \_\_\_\_\_ support reduction in LDL-C after initiation of therapy.
  - Yes    No
6. **For ATP Citrate Lyase (M4V) Nexletol® or Nexlizet™ therapy only:** Has the member achieved at least a 15% to 20% reduction in LDL-C since the beginning of treatment with Nexletol® or Nexlizet™?  
**ACTION REQUIRED:** If **Yes**, please attach clinical notes and laboratory results that support reduction in LDL-C after initiation of therapy.
  - Yes    No
7. Does the member continue to benefit from treatment as measured by either continued decrease in LDL-C levels or maintenance of optimum LDL-C levels?  
**ACTION REQUIRED:** If **Yes**, please attach clinical notes and laboratory results that support continued benefit of Praluent® or Repatha® therapy.
  - Yes    No
8. Is the member unable to use a maximum dose of atorvastatin or rosuvastatin due to muscle symptoms? Documentation of a causal relationship must be established between statin use and muscle symptoms.

Documentation must demonstrate that the member experienced pain, tenderness, stiffness, cramping, weakness, and/or fatigue, and all of the following:

- a. Muscle symptoms resolved after discontinuation of statin; **AND**
- b. Muscle symptoms occurred when re-challenged at a lower dose of the same statin; **AND**
- c. Muscle symptoms occurred after switching to an alternative statin; **AND**
- d. Documentation ruling out non-statin causes of muscle symptoms (e.g., hypothyroidism, reduced renal function, reduced hepatic function, rheumatologic disorders [e.g., polymyalgia rheumatica], steroid myopathy, vitamin D deficiency, or primary muscle disease); **OR**
- e. The member has been diagnosed with statin-induced rhabdomyolysis

Yes  No

If **Yes** to any, give details: \_\_\_\_\_

**DIAGNOSIS AND LAB VALUES FOR HOMOZYGOUS FAMILIAL HYPERCHOLESTEROLEMIA (HOFH)**

9. Has genetic testing confirmed the presence of two mutant alleles at the LDLR, APOB, PCSK9, or LDLRAP1 gene locus?

**ACTION REQUIRED:** If Yes, please attach a copy of genetic testing result.

Yes  No

10. Has the diagnosis of HoFH been confirmed by any of the following?

**ACTION REQUIRED:** Please indicate below and provide a copy of the laboratory report with LDL-C level at time of diagnosis and other documentation supporting the presence of xanthoma or family history of HoFH (e.g., chart notes, medical records).

Untreated LDL-C > 500 mg/dL **and** cutaneous or tendon xanthoma before age 10 years

Untreated LDL-C > 500 mg/dL **and** untreated elevated LDL-C levels consistent with heterozygous familial hypercholesterolemia in both parents

Treated LDL-C  $\geq$  300 mg/dL **and** cutaneous or tendon xanthoma before age 10 years

Treated LDL-C  $\geq$  300 mg/dL **and** untreated elevated LDL-C levels consistent with heterozygous familial hypercholesterolemia in both parents

None of the above

11. Does the member have a history of clinical ASCVD or a cardiovascular event listed below? Indicate which ones.

Acute coronary syndromes

Myocardial infarction

Stable or unstable angina

Transient ischemic attack (TIA)

Stroke of presumed atherosclerotic origin

- Coronary or other arterial revascularization procedure (e.g., percutaneous transluminal coronary angioplasty [PTCA], coronary artery bypass graft [CABG])
- Peripheral arterial disease of presumed atherosclerotic origin
- Findings from a computerized tomography (CT) angiogram or catheterization consistent with clinical ASCVD

12. What is the member's pre-treatment LDL-C level (i.e., prior to starting PCSK9 or M4V therapy)?

\_\_\_\_\_mg/dL.

13. Is the member diagnosed with homozygous familial hypercholesterolemia (HoFH) and at least 13 years of age?

- Yes  No

**DIAGNOSIS AND LAB VALUES FOR HETEROZYGOUS FAMILIAL HYPERCHOLESTEROLEMIA (HEFH)**

14. Does the member have a definite diagnosis of heterozygous familial hypercholesterolemia (HeFH) as defined by the Dutch Lipid Clinic Network criteria (total score greater than 8)?

**ACTION REQUIRED:** If Yes, please provide a copy of the lab report with LDL-C level at time of diagnosis and other documentation supporting clinical/family history and/or physical findings (e.g., chart notes, medical records).

- Yes  No

15. Does the member have a definite diagnosis of HeFH as defined by Simon Broome diagnostic criteria?

- Yes  No

Additional Information –

1. Please submit chart notes/medical records for the patient that are applicable to this request.
2. If member has not tried preferred agent(s) please provide rationale/explanation and any additional supporting information that should be taken into consideration for the requested medication:

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Prescriber Sign off

Prescriber Signature:
Date:

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